

Docket No. 263432US0X PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Bernard PAU, et al.

SERIAL NO: 10/516,946

FILED: December 16, 2004

FOR: OXALIPLATIN ANTI-RESISTANCE AGENT

GAU:

EXAMINER:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 263432USOX PCT		SERIAL NO. 10/516,946	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Bernard PAU, et al.			
				FILING DATE December 16, 2004		GROUP	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AA	F. L. GRAHAM et al., "A New Technique for the Assay of Infectivity of Human Adenovirus 5 DNA", VIROLOGY, Vol. 52, pages 456-467, 1973.					
	AB	Philippe MARCHETTI et al., "Apoptosis-associated Derangement of Mitochondrial Function in Cells Lacking Mitochondrial DNA", Cancer Research, Vol. 56, pages 2033-2038, May 1, 1996.					
	AC	William D. HUSE et al., "Generation of a Large Combinatorial Library of the Immunoglobulin Repertoire in Phage Lambda", Research Article, Science, Vol. 246, pages 1275-1281, December 8, 1989.					
	AD	Robert W. MALONE et al., "Direct Gene Transfer into Mouse Muscle in Vivo", REPORTS, SCIENCE, Vol. 247, pages 1465-1468, March 23, 1990.					
	AE	Stephen P. A. FODOR et al., "Multiplexed biochemical assays with biological chips", NATURE, Vol. 364, pages 555-556, August 5, 1993.					
	AF	Antony ROSEN et al., "Macromolecular Substrates for the iCE-Like Proteases During Apoptosis", Journal of Cellular Biochemistry, Vol. 64, pages 50-54, 1997.					
	AG	Vivian G. CHEUNG et al., "Making and reading microarrays", Nature Genetics Supplement, Vol. 21, pages 15-19, January 1999.					
	AH	Patrick O. BROWN et al., "Exploring the new world of the genome with DNA microarrays", Nature Genetics Supplement, Vol. 21, pages 33-37, January 1999.					
	AI	Gerald M. COHEN et al., "Caspases: the executioners of apoptosis", Biochem. J., Vol. 326, pages 1-16, 1997.					
	AJ	Ruth M. KLUCK et al., "The Releases of Cytochrome c from Mitochondria: A Primary Site for Bcl-2 Regulation of Apoptosis", SCIENCE, Vol. 275, pages 1132-1136, February 21, 1997.					
	AK	Nancy A. THORNBERRY et al., "Caspases: Enemies Within" SCIENCE, Vol. 281, pages 1312-1316, August 28, 1998.					
	AL	Z. EL-AKAWI et al., "Altered glutathione metabolism in oxaliplatin resistant ovarian carcinoma cells", Cancer Letters, Vol. 105, pages 5-14, 1996.					
	AM	Paul MONAGHAN et al., "Ultrastructural Localization of BCL-2 Protein", Rapid Communication, Vol. 40, No. 12, pages 1819-1825, 1992.					
	AN	Stanley J. KORSMEYER et al., "Reactive oxygen species and the regulation of cell death by the Bcl-2 gene family", Biochimica et Biophysica Acta, Vol. 1271, pages 63-66, 1995.					
	AO	Michael B. EISEN et al., "[12] DNA Arrays for Analysis of Gene Expression", Methods in Enzymology, Vol. 303, pages 179-205, 1999.					
	AP	Michael WIGLER et al., "Biochemical Transfer of Single-Copy Eucaryotic Genes Using Total Cellular DNA as Donor", Cell, Vol. 14, pages 725-731, July 1978.					
	AQ	Tomoko ONO et al., "Plasmid DNAs directly injected into mouse brain with lipofectin can be incorporated and expressed by brain cells", Neuroscience Letters, Vol. 117, pages 259-263, 1990.					
	AR	Paola COSTANTINI et al., "On the effects of paraquat on isolated mitochondria. Evidence that paraquat causes opening of the cyclosporin A-sensitive permeability transition pore synergistically with nitric oxide", Toxicology, Vol. 99, pages 77-88, 1995.					
	AS	R.A. HOUGHTEN et al., "The Use of Synthetic Peptide Combinatorial Libraries for the Identification of Bioactive Peptides", BioFeature, Vol. 13, No. 3, pages 412-421, 1992.					
	AT	Peng LIANG et al., "Recent advances in differential display", Current Opinion in Immunology, Vol. 7, pages 274-280, 1995.					
	AU	Kenneth L. BRIGHAM et al., "Rapid Communication: In vivo Transfection of Murine Lungs with a Functioning Prokaryotic Gene Using a Liposome Vehicle", The American Journal of The Medical Sciences, Vol. 298, No. 4, pages 278-281, October 1989.					
	AV	Philip L. FELGNER et al., "Lipofection: A highly efficient, lipid-mediated DNA-transfection procedure", Proc. Natl. Acad. Sci., Vol. 84, pp. 7413-7417, November 1987.					
	AW	Scott W. MILLER et al., "Creation and Characterization of Mitochondrial DNA-Depleted Cell Lines with "Neuronal-Like" Proteins", Journal of Neurochemistry, Vol. 67, No. 5, pages 1897-1907, 1996.					
	AX	Neil S. GREENSPAN et al., "Idiotypes: structure and immunogenicity", The FASEB Journal, Vol. 7, pages 437-444, March 1993.					
	AY	Mariangela MANCINI et al., "Mitochondrial Proliferation and Paradoxical Membrane Depolarization during Terminal Differentiation and Apoptosis in a Human Colon Carcinoma Cell Line", The Journal of Cell Biology, Vol. 138, No. 2, pages 449-469, July 29, 1997.					
	AZ	Juliane M. JUERGENSMEIER et al., "Bax directly induces release of cytochrome c from isolated mitochondria", Proc. Natl. Acad. Sci. USA, Cell Biology, Vol. 95, pages 4997-5002, April 1998.				Additional References sheet(s) attached	
Examiner							
Date Considered							
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 263432US0X PCT		SERIAL NO. 10/516,946	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Bernard PAU, et al.			
				FILING DATE December 16, 2004		GROUP	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAA	Sherie L. MORRISON et al., "Chimeric human antibody molecules: Mouse antigen-binding domains with human constant region domains", Proc. Natl. Acad. Sci. USA, Immunology, Vol. 81, pages 6851-6855, November 1984.					
	AAB	G. KOEHLER; C. MILSTEIN, "Continuous cultures of fused cells secreting antibody of predefined specificity", Nature, Vol. 256, pages 495-497, August 7, 1975.					
	AAC	H. Michael ELLERBY et al., "Establishment of a Cell-Free System of Neuronal Apoptosis: Comparison of Premitochondrial, Mitochondrial, and Postmitochondrial Phases", The Journal of Neuroscience, Vol. 17, No. 16, pages 6165-6178, August 15, 1997.					
	AAD	Eric RAYMOND et al., "Cellular and Molecular Pharmacology of Oxaliplatin", Molecular Cancer Therapeutics, Vol. 1, pages 227-235, January 2002.					
	AAE	Thuy T. M. NGUYEN et al., "Expressing Murine β 1, 4-Galactosyltransferase in HeLa Cells Produces a Cell Surface Galactosyltransferase-dependent Phenotype", The Journal of Biological Chemistry, Vol. 269, No. 45, pages 28000-28009, Issue of November 11, 1994.					
	AAF						
	AAG						
	AAH						
	AAI						
	AAJ						
	AAK						
	AAL						
	AAM						
	AAN						
	AAO						
	AAP						
	AAQ						
Examiner						Date Considered	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/516,946
Docket No.: 273432US0X PCT

STATEMENT OF RELEVANCY

1) References __ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) Reference _____ have been cited in the corresponding _____ Search Report. A copy of this reference is being submitted herewith.

3) References AA-AAE are discussed in the specification. A copy of these references is being submitted here with.

4) Reference _____ is additional prior art known to Applicant. A copy of these references is being submitted herewith.